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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

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| Application Number | 10/776,037 |
| Filing Date | February 9, 2004 |
| First Named Inventor | Paul G. Yock, et al. |
| Art Unit | 1633 |
| Examiner Name | Marvich, Maria |
| Attorney Docket Number | 13854.4004 |

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| Sheet | 1 | of | 2 |
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U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. ¹ | U.S. Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
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| | | Number-Kind Code ² (if known) | | |
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| | AC | 4,934,996 | 6/1990 | Mohi, et al. |
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| | | Country ³ Number ⁴ Kind Code ⁵ (if known) | | | |
| | BA | | | | |
| | BB | | | | |

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|----------------------|-----------------------|---|----------------|
| MM | CA | Baumbach, et al. (1999). "Local drug delivery; Impact of pressure, substance characteristics, and stenting on drug transfer into the arterial wall" <i>Catheterization and Cardiovascular Interventions</i> , vol. 47: 102-106 | |
| MM | CB | Boekstegers, et al. (1999). "Regional and highly efficient myocardial gene transfer by selective pressure-regulated retroinfusion of coronary veins" <i>JACC, Abstracts, Hypertension, Vascular Disease, and Prevention</i> p. 223A. | |




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| Examiner Signature | DOCSOC1:173594.1 <i>M Marvich</i> | Date Considered | 6/13/06 |
|-----------------------|--------------------------------------|--------------------|---------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicants unique citation designation number (optional). ²See Kinds of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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|  | CC | Boekstegers, et al. (2000). "Myocardial gene transfer by selective pressure-regulated retroinfusion of coronary veins" <i>Gene Therapy</i> , vol. 7:232-240 | |
|  | CD | Gerber et al. (2000). "The coronary venous system: An alternate portal to the myocardium for diagnostic and therapeutic procedures in invasive cardiology" <i>Current Interventional Cardiology Reports</i> , vol. 2: 27-37. | |
|  | CE | Von der Leyen et al. (1999). "A pressure-mediated nonviral method for efficient arterial gene and oligonucleotide transfer" <i>Human Gene Therapy</i> , vol. 10: 2355-2364. | |

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